- (ii) Students in each of the following subgroups:
- (A) Economically disadvantaged students.
- (B) Students from major racial and ethnic groups.
- (C) Students with disabilities, as defined in section 9101(5) of the ESEA.
- (D) Students with limited English proficiency, as defined in section 9101(25) of the ESEA.
- (c)(1) In calculating adequate yearly progress for schools, LEAs, and the State, a State—
- (i) Must, consistent with §200.7(a), include the scores of all students with disabilities, even those with the most significant cognitive disabilities; but
- (ii) May include the proficient and advanced scores of students with the most significant cognitive disabilities based on the alternate academic achievement standards in §200.1(d), provided that the number of those students who score at the proficient or advanced level on those alternate achievement standards at the LEA and at the State levels, separately, does not exceed 1.0 percent of all students in the grades assessed in reading/language arts and in mathematics.
- (2) An SEA may request from the Secretary an exception permitting it to exceed the 1.0 percent cap. The Secretary will consider granting, for a specified period of time, an exception to a State if the following conditions are met:
- (i) The SEA documents that the incidence of students with the most significant cognitive disabilities exceeds 1.0 percent of all students in the grades assessed.
- (ii) The SEA explains why the incidence of such students exceeds 1.0 percent of all students in the combined grades assessed, such as school, community, or health programs in the State that have drawn large numbers of families of students with the most significant cognitive disabilities, or such a small overall student population that it would take only a very few students with such disabilities to exceed the 1.0 percent cap.
- (iii) The SEA documents that it is fully and effectively addressing the requirements of § 200.6(a)(2)(iii).

- (3)(i) A State may grant an exception to an LEA permitting it to exceed the 1.0 percent cap in paragraph (c)(1) of this section only if the State evaluates the LEA's request using conditions consistent with paragraph (c)(2) of this section.
- (ii) The State must review regularly whether an LEA's exception to the 1.0 percent cap is still warranted.
- (4) In calculating adequate yearly progress, if the percentage of proficient and advanced scores based on alternate academic achievement standards under \$200.1(d) exceeds the caps in paragraph (c)(1) through (3) of this section at the State or LEA level, the State must do the following:
- (i) Consistent with §200.7(a), include all scores of students with the most significant cognitive disabilities.
- (ii) Count as non-proficient the proficient and advanced scores above the caps in paragraph (c)(1) through (3) of this section.
- (iii) Determine which proficient scores to count as non-proficient in schools and LEAs responsible for students who take an alternate assessment based on alternate achievement standards.
- (iv) Include those non-proficient scores in each applicable subgroup at the school, LEA and State level.
- (v) Ensure that parents are informed of the actual academic achievement levels of their students with the most significant cognitive disabilities.
- (d) The State must establish a way to hold accountable schools in which no grade level is assessed under the State's academic assessment system (e.g., K-2 schools), although the State is not required to administer a formal assessment to meet this requirement.

(Approved by the Office of Management and Budget under control number 1810-0576)

(Authority: 20 U.S.C. 6311(b)(2))

[67 FR 71716, Dec. 2, 2002; 68 FR 1008, Jan. 8, 2003, as amended at 68 FR 68703, Dec. 9, 2003]

# § 200.14 Components of Adequate Yearly Progress.

- A State's definition of AYP must include all of the following:
- (a) A timeline in accordance with  $\S 200.15$ .

#### § 200.15

- (b) Starting points in accordance with  $\S 200.16$ .
- (c) Intermediate goals in accordance with  $\S 200.17$ .
- (d) Annual measurable objectives in accordance with § 200.18.
- (e) Other academic indicators in accordance with § 200.19.

(Authority: 20 U.S.C. 6311(b)(2))

[67 FR 71716, Dec. 2, 2002]

### § 200.15 Timeline.

- (a) Each State must establish a timeline for making AYP that ensures that, not later than the 2013–2014 school year, all students in each group described in §200.13(b)(7) will meet or exceed the State's proficient level of academic achievement.
- (b) Notwithstanding subsequent changes a State may make to its academic assessment system or its definition of AYP under §§ 200.13 through 200.20, the State may not extend its timeline for all students to reach proficiency beyond the 2013–2014 school year.

(Authority: 20 U.S.C. 6311(b)(2))

[67 FR 71716, Dec. 2, 2002]

## § 200.16 Starting points.

- (a) Using data from the 2001–2002 school year, each State must establish starting points in reading/language arts and in mathematics for measuring the percentage of students meeting or exceeding the State's proficient level of academic achievement.
- (b) Each starting point must be based, at a minimum, on the higher of the following percentages of students at the proficient level:
- (1) The percentage in the State of proficient students in the lowest-achieving subgroup of students under § 200.13(b)(7)(ii).
- (2) The percentage of proficient students in the school that represents 20 percent of the State's total enrollment among all schools ranked by the percentage of students at the proficient level. The State must determine this percentage as follows:
- (i) Rank each school in the State according to the percentage of proficient students in the school.
- (ii) Determine 20 percent of the total enrollment in all schools in the State.

- (iii) Beginning with the lowest-ranked school, add the number of students enrolled in each school until reaching the school that represents 20 percent of the State's total enrollment among all schools.
- (iv) Identify the percentage of proficient students in the school identified in paragraph (iii).
- (c)(1) Except as permitted under paragraph (c)(2) of this section, each starting point must be the same throughout the State for each school, each LEA, and each group of students under §200.13(b)(7).
- (2) A State may use the procedures under paragraph (b) of this section to establish separate starting points by grade span.

(Authority: 20 U.S.C. 6311(b)(2))

[67 FR 71716, Dec. 2, 2002]

#### § 200.17 Intermediate goals.

Each State must establish intermediate goals that increase in equal increments over the period covered by the timeline under §200.15 as follows:

- (a) The first incremental increase must take effect not later than the 2004-2005 school year.
- (b) Each following incremental increase must occur in not more than three years.

(Authority: 20 U.S.C. 6311(b)(2))

[67 FR 71716, Dec. 2, 2002]

## $\S\,200.18$ Annual measurable objectives.

- (a) Each State must establish annual measurable objectives that—
- (1) Identify for each year a minimum percentage of students that must meet or exceed the proficient level of academic achievement on the State's academic assessments; and
- (2) Ensure that all students meet or exceed the State's proficient level of academic achievement within the timeline under §200.15.
- (b) The State's annual measurable objectives—
- (1) Must be the same throughout the State for each school, each LEA, and each group of students under § 200.13(b)(7); and